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3.23 Electrical, Optical, and Magnetic Properties of Materials

Fall 2007

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3.23 Fall 2007 – Lecture 2

THINK OUTSIDE THE BOX

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More practical info

- Problem sets – out on Wed (and posted on Stellar), due by 5pm of the following weekend (after that 75%, after Thu 5pm 50%, after Fri 5pm 25%)
- ~11 in total, 30% of the grade
- Sometimes I mention homework – it's not the "Problem Set" @ Poilvert, Bonnet

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Homework

- Take notes
- Revise posted lecture
- Study posted or assigned material (TEXTBOOKS – do you have them ?)
- Meet with TAs or Instructor:
Marzari Office Hours – Monday 4-5 pm
Poilvert Office Hours – Tuesday 4-5pm

3.012 Fundamentals of Materials Science: Bonding - Nicola Marzari (MIT, Fall 2005)

Last time: Wave mechanics

1. Particles, fields, and forces
2. Dynamics – from Newton to Schroedinger
3. De Broglie relation $\lambda \cdot p = h$
4. Waves and plane waves
5. Harmonic oscillator

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Time-dependent Schrödinger's equation

(Newton's 2nd law for quantum objects)

$$-\frac{\hbar^2}{2m} \nabla^2 \Psi(\vec{r}, t) + V(\vec{r}, t) \Psi(\vec{r}, t) = i\hbar \frac{\partial \Psi(\vec{r}, t)}{\partial t}$$

1925-onwards: E. Schrödinger (wave equation), W. Heisenberg (matrix formulation), P.A.M. Dirac (relativistic)

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Plane waves as free particles

Our free particle $\Psi(\vec{r}, t) = A \exp[i(\vec{k} \cdot \vec{r} - \omega t)]$ satisfies the wave equation:

$$-\frac{\hbar^2}{2m} \nabla^2 \Psi(\vec{r}, t) = i\hbar \frac{\partial \Psi(\vec{r}, t)}{\partial t} \quad (\text{provided } E = \hbar\omega = \frac{p^2}{2m} = \frac{\hbar^2 k^2}{2m})$$

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Stationary Schrödinger's Equation (I)

$$-\frac{\hbar^2}{2m} \nabla^2 \Psi(\vec{r}, t) + V(\vec{r}, *) \Psi(\vec{r}, t) = i\hbar \frac{\partial \Psi(\vec{r}, t)}{\partial t}$$

ANSATZ

$$\Psi(\vec{r}, t) = \varphi(\vec{r}) f(t)$$

$$-\frac{\hbar^2}{2m} \nabla^2 (\varphi f) + V(\vec{r}) \varphi f = i\hbar \frac{\partial (\varphi f)}{\partial t}$$

$$-\frac{\hbar^2}{2m} f \nabla^2 \varphi + V \varphi f = i\hbar \varphi \frac{\partial f}{\partial t} \quad / \varphi f$$

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$$\underbrace{-\frac{\hbar^2 \nabla^2 \varphi}{2m \varphi}}_{\vec{r}} + V = \underbrace{i\hbar \frac{1}{f} \frac{\partial f}{\partial t}}_t = \dots$$

$$= \text{CONSTANT} = E$$

$$-\frac{\hbar^2}{2m} \nabla^2 \varphi + V = E$$

$$i\hbar \frac{1}{f} \frac{\partial f}{\partial t} = E$$

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Stationary Schrödinger's Equation (II)

$$\left[-\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right] \varphi(\vec{r}) = E \varphi(\vec{r})$$

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Stationary Schrödinger's Equation (III)

$$\left[-\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right] \varphi(\vec{r}) = E \varphi(\vec{r})$$

1. It's not proven – it's postulated, and it is confirmed experimentally
2. It's an "eigenvalue" equation: it has a solution only for certain values (discrete, or continuum intervals) of E
3. For those eigenvalues, the solution ("eigenstate", or "eigenfunction") is the complete descriptor of the electron in its equilibrium ground state, in a potential V(r).
4. As with all differential equations, boundary conditions must be specified
5. Square modulus of the wavefunction = probability of finding an electron

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Free particle: $\Psi(x,t) = \phi(x)f(t)$

$$-\frac{\hbar^2}{2m} \nabla^2 \phi(x) = E \phi(x)$$

→

$$\frac{d^2 \phi}{dx^2} = -\frac{2mE}{\hbar^2} \phi$$

$$i\hbar \frac{d}{dt} f(t) = E f(t)$$

→

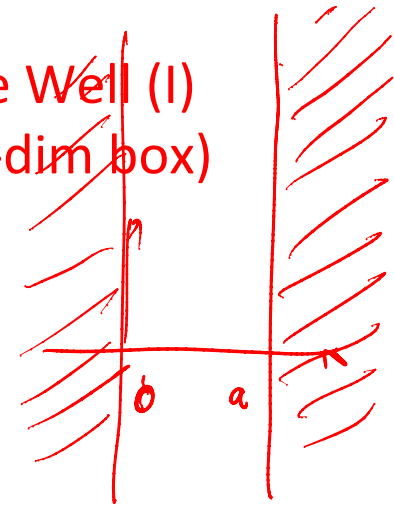
$$\frac{df}{dt} = -i \frac{E}{\hbar} f$$

$\phi = e^{ikx}$
 $f = e^{-i \frac{E}{\hbar} t}$

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\hbar^2 Infinite Square Well (I)
(particle in a 1-dim box)

$$-\frac{\hbar^2}{2m} \frac{d^2 \phi(x)}{dx^2} = E \phi(x)$$



$$\phi(x) = A e^{ikx} + B e^{-ikx}$$

$$= C \sin(kx) + D \cos(kx) \quad D=0$$

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Infinite Square Well (II)

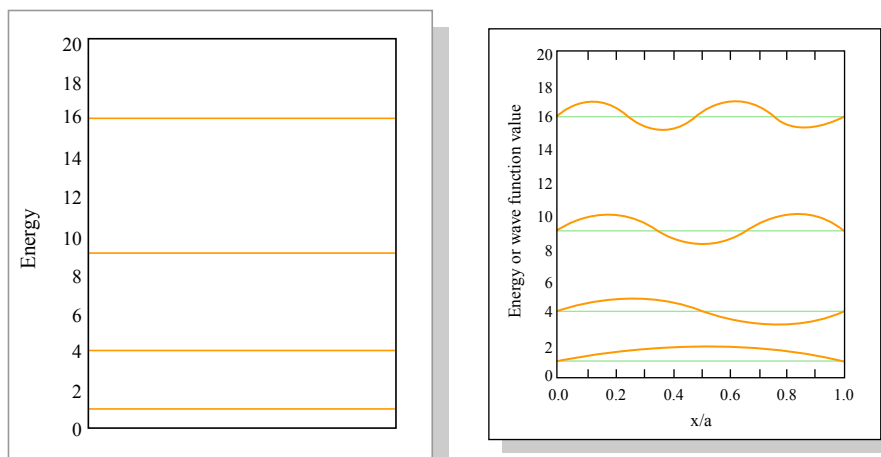
$$\left(C \sin kx \right) \Big|_{x=a} = 0$$

$$C \sin ka = 0$$

$$ka = n\pi \quad n = 0, +1, +2, \dots$$

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Infinite Square Well (III)

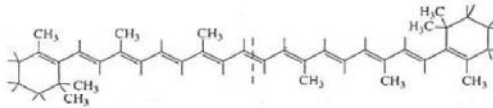


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The power of carrots

- β -carotene



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Physical Observables from Wavefunctions

- Eigenvalue equation:

$$\left[-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \right] \varphi(x) = E\varphi(x)$$

- Expectation values for the operator (energy)

$$E = \int \varphi^*(x) \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right] \varphi(x) dx \quad E = \frac{\hbar^2}{2m} \left(\frac{\hbar^2}{a^2} \right)$$

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Particle in a 2-dim box

$$-\frac{\hbar^2}{2m} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \varphi(x, y) = E \varphi(x, y)$$

$$\varphi(x, y) = X(x)Y(y)$$

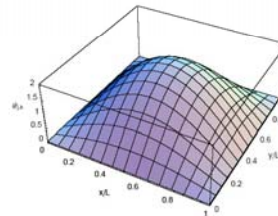
$$-\frac{\hbar^2}{2m} Y \frac{d^2 X}{dx^2} - \frac{\hbar^2}{2m} X \frac{d^2 Y}{dy^2} = E X Y$$

$$-\frac{\hbar^2}{2m} \frac{1}{X} \frac{d^2 X}{dx^2} = E = -\frac{\hbar^2}{2m} \frac{1}{Y} \frac{d^2 Y}{dy^2}$$

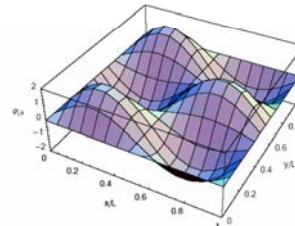
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Particle in a 2-dim box

$$\varphi(x, y) = C \sin\left(\frac{l\pi x}{a}\right) \sin\left(\frac{m\pi y}{b}\right)$$



$$E = \frac{\hbar^2}{8m} \left(\frac{l^2}{a^2} + \frac{m^2}{b^2} \right)$$



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Particle in a 3-dim box

$$-\frac{\hbar^2}{2m} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \varphi(x, y, z) = E \varphi(x, y, z)$$

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Particle in a 3-dim box: *Farbe* defect in halides (e⁻ bound to a negative ion vacancy)

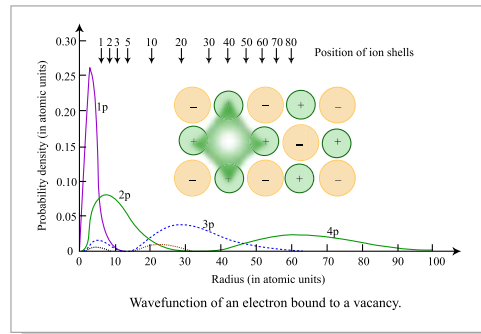
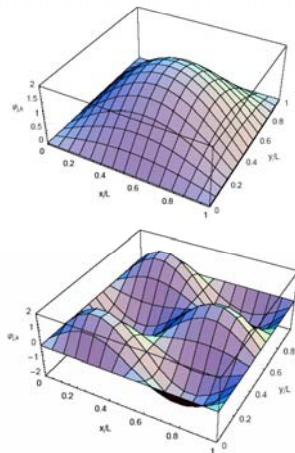


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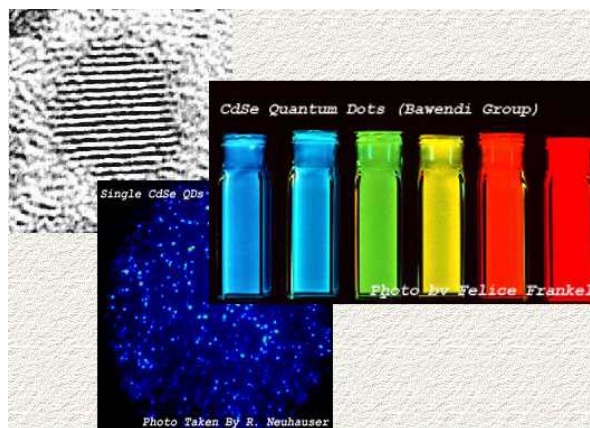
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From Carl Zeiss to MIT...

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Avakian, P., and Smakula, A. "Color Centers in Cesium Halide Single Crystals."
Physical Review 120 (December 1960): 2007.

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Light absorption/emission



Courtesy M. Bawendi and Felice Frankel.
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 Willey, T. M., et al. "Molecular Limits to the Quantum Confinement Model in Diamond Clusters." *Physical Review Letters* 95 (2005): 113401.

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Metal Surfaces (I)

$$\left[-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \right] \varphi(x) = E\varphi(x)$$

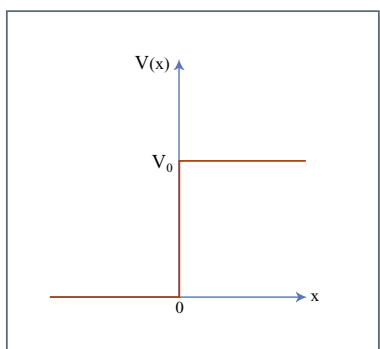


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$$\begin{aligned} \rightarrow \frac{\hbar^2}{2m} \frac{d^2 \varphi}{dx^2} &= E\varphi & \left| & \begin{aligned} -\frac{\hbar^2}{2m} \frac{d^2 \varphi}{dx^2} + V_0 \varphi &= E\varphi \end{aligned} \\ & & & \end{aligned}$$

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Metal Surfaces (II)

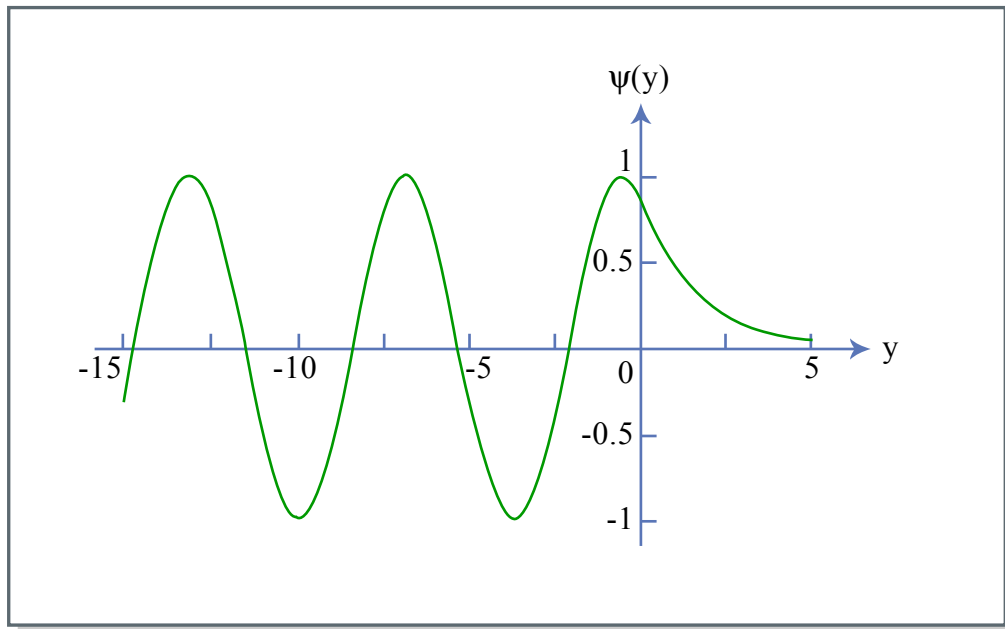


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Scanning Tunnelling Microscopy

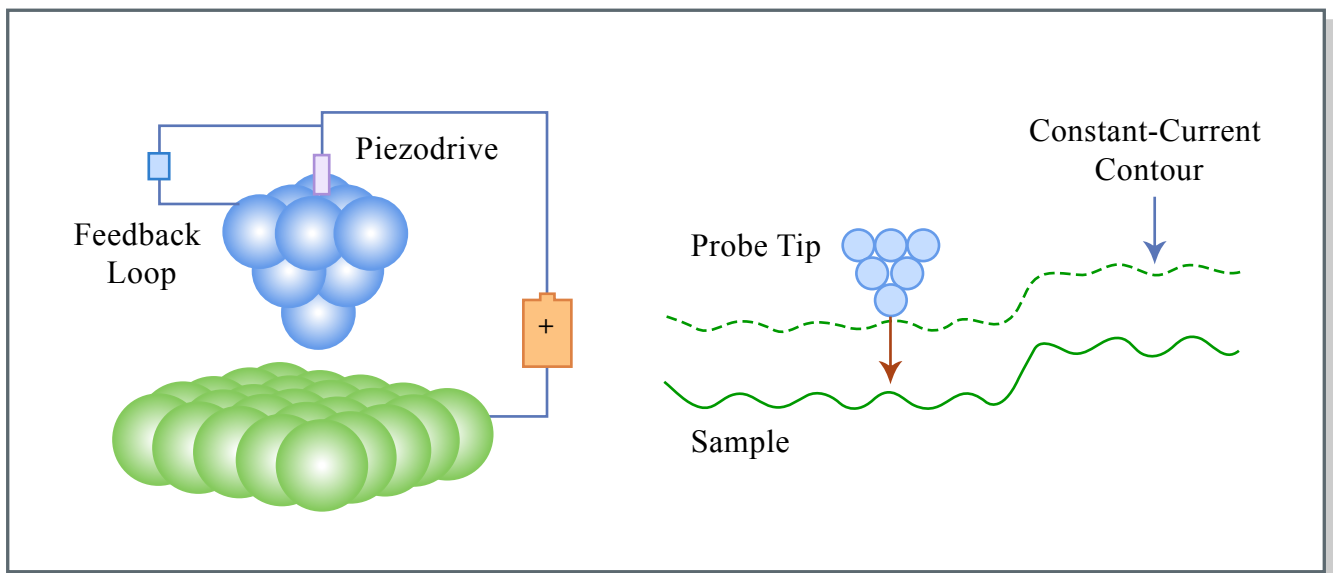


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Scanning Tunnelling Microscopy, cont.

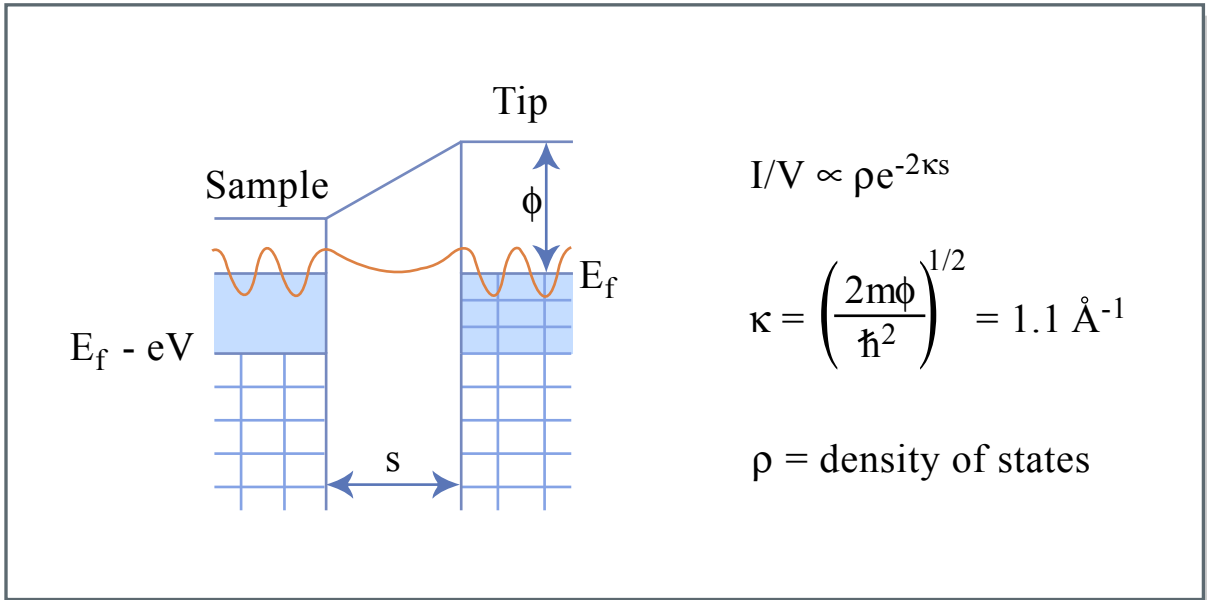
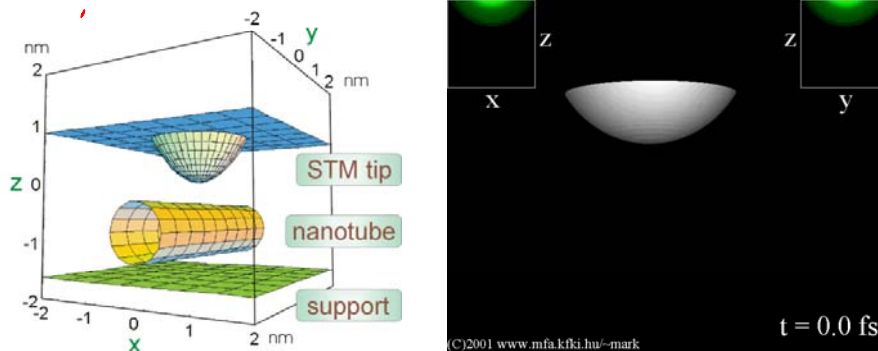


Figure by MIT OpenCourseWare.

Wavepacket tunnelling through a nanotube



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<http://newton.phy.bme.hu/education/schrd/index.html>

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<http://www.quantum-physics.polytechnique.fr>

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