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6.006 Introduction to Algorithms  
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# Lecture 20: Dynamic Programming II: Longest Common Subsequence, Parent Pointers

## Lecture Overview

- Review of big ideas & examples so far
- Bottom-up implementation
- Longest common subsequence
- Parent pointers for guesses

## Readings

CLRS 15

## Summary

- \* DP  $\approx$  “controlled brute force”
- \* DP  $\approx$  guessing + recursion + memoization
- \* DP  $\approx$  dividing into reasonable  $\#$  subproblems whose solutions relate - acyclicly - usually via guessing parts of solution.
- \* time =  $\#$  subproblems  $\times$   $\underbrace{\text{time/subproblem}}_{\substack{\text{treating recursive calls as } O(1) \\ \text{(usually mainly guessing)}}$ 
  - essentially an amortization
  - count each subproblem only once; after first time, costs  $O(1)$  via memoization

Examples:	Fibonacci	Shortest Paths	Crazy Eights
subprobs:	$\text{fib}(k)$ $0 \leq k \leq n$	$\delta_k(s, t) \forall s, k < n$ = min path $s \rightarrow t$ using $\leq k$ edges	trick(i) = longest trick from card(i)
# subprobs:	$\Theta(n)$	$\Theta(V^2)$	$\Theta(n)$
guessing:	none	edge from $s$ , if any	next card $j$
# choices:	1	$\text{deg}(s)$	$n - i$
relation:	$= \text{fib}(k - 1)$ $+ \text{fib}(k - 2)$	$= \min\{\delta_{k-1}(s, t)\}$ $u\{w(s, v) + \delta_{k-1}(v, t)$ $  v \in \text{Adj}[s]\}$	$= 1 + \max(\text{trick}(j))$ for $i < j < n$ if $\text{match}(c[i], c[j])$
time/subpr:	$\Theta(1)$	$\Theta(1 + \text{deg}(s))$	$\Theta(n - i)$
<u>DP time:</u>	$\Theta(n)$	$\Theta(VE)$	$\Theta(n^2)$
orig. prob:	$\text{fib}(n)$	$\delta_{n-1}(s, t)$	$\max\{\text{trick}(i), 0 \leq i < n\}$
extra time:	$\Theta(1)$	$\Theta(1)$	$\Theta(n)$

### Bottom-up implementation of DP:

alternative to recursion

- subproblem dependencies form DAG (see Figure 1)
- imagine topological sort
- iterate through subproblems in that order  
 $\implies$  when solving a subproblem, have already solved all dependencies
- often just: “solve smaller subproblems first”

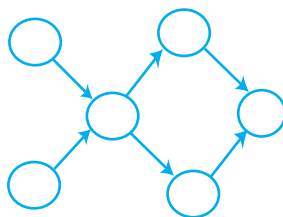


Figure 1: DAG

### Example.

Fibonacci:

for  $k$  in  $\text{range}(n + 1)$ :  $\text{fib}[k] = \dots$

Shortest Paths:

for  $k$  in  $\text{range}(n)$ : for  $v$  in  $V$ :  $d[k, v, t] = \dots$

Crazy Eights:

for  $i$  in  $\text{reversed}(\text{range}(n))$ :  $\text{trick}[i] = \dots$

- no recursion for memoized tests  
 $\implies$  faster in practice
- building DP table of solutions to all subprobs. can often optimize space:
  - Fibonacci: [PS6](#)
  - Shortest Paths: re-use same table  $\forall k$

### Longest common subsequence: (LCS)

A.K.A. edit distance, diff, CVS/SVN, spellchecking, DNA comparison, plagiarism, detection, etc.

Given two strings/sequences  $x$  &  $y$ , find longest common subsequence  $\text{LCS}(x,y)$  sequential but not necessarily contiguous

- e.g., **H I E R O G L Y P H O L O G Y** vs. **M I C H A E L A N G E L O**  
 common subsequence is **Hello**
- equivalent to “edit distance” (unit costs): # character insertions/deletions to transform  $x \rightarrow y$  **everything except the matches**
- brute force: try all  $2^{|x|}$  subsequences of  $x \implies \Theta(2^{|x|} \cdot |y|)$  time
- instead: DP on two sequences simultaneously

\* Useful subproblems for strings/sequences  $x$ :

- suffixes  $x[i : ]$
- prefixes  $x[: i]$   
 The suffixes and prefixes are  $\Theta(|x|) \leftarrow \implies$  **use if possible**
- substrings  $x[i : j] \Theta(|x|^2)$

*Idea:* Combine such subproblems for  $x$  &  $y$  (suffixes and prefixes work)

### LCS DP

- subproblem  $c(i, j) = |\text{LCS}(x[i:], y[j:])|$  for  $0 \leq i, j < n$   
 $\implies \Theta(n^2)$  subproblems  
 - original problem  $\approx c[0, 0]$  (**length  $\sim$  find seq. later**)
- idea: either  $x[i] = y[j]$  part of LCS or not  $\implies$  either  $x[i]$  or  $y[j]$  (or both) not in LCS (with anyone)
- guess: drop  $x[i]$  or  $y[j]$ ? (2 choices)

- relation among subproblems:

if  $x[i] = y[j] : c(i, j) = 1 + c(i + 1, j + 1)$   
 (otherwise  $x[i]$  or  $y[j]$  unused  $\sim$  can't help)

else:  $c(i, j) = \max\{\underbrace{c(i + 1, j)}_{x[i] \text{ out}}, \underbrace{c(i, j + 1)}_{y[j] \text{ out}}\}$

base cases:  $c(|x|, j) = c(i, |y|) = \phi$

$\implies \Theta(1)$  time per subproblem

$\implies \Theta(n^2)$  total time for DP

- DP table: see Figure 2

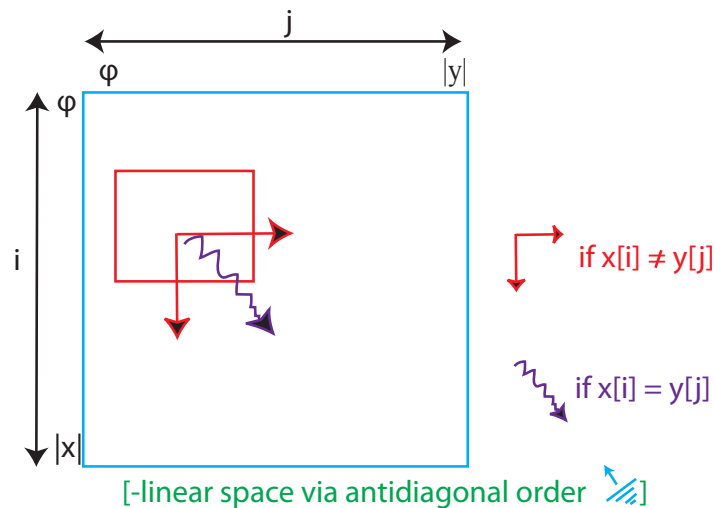


Figure 2: DP Table

- recursive DP:

```
def LCS(x, y):
    seen = { }
    def c(i, j):
        if i >= len(x) or j >= len(y) : return phi
        if (i, j) not in seen:
            if x[i] == y[j]:
                seen[i, j] = 1 + c(i + 1, j + 1)
            else:
                seen[i, j] = max(c(i + 1, j), c(i, j + 1))
        return seen[i, j]
    return c(0, 0)
```

- bottom-up DP:

```
def LCS(x, y):
    c = {}
    for i in range(len(x)):
        c[i, len(y)] =  $\phi$ 
    for j in range(len(y)):
        c[len(x), j] =  $\phi$ 
    for i in reversed(range(len(x))):
        for j in reversed(range(len(y))):
            if x[i] == y[j]:
                c[i, j] = 1 + c[i + 1, j + 1]
            else:
                c[i, j] = max(c[i + 1, j], c[i, j + 1])
    return c[0, 0]
```

### Recovering LCS: [\[material covered in recitation\]](#)

- to get LCS, not just its length, store parent pointers (like shortest paths) to remember correct choices for guesses:

```
if x[i] == y[j]:
    c[i, j] = 1 + c[i + 1, j + 1]
    parent[i, j] = (i + 1, j + 1)
else:
    if c[i + 1, j] > c[i, j + 1]:
        c[i, j] = c[i + 1, j]
        parent[i, j] = (i + 1, j)
    else:
        c[i, j] = c[i, j + 1]
        parent[i, j] = (i, j + 1)
```

- ... and follow them at the end:

```
lcs = [ ]
here = (0,0)
while c[here]:
    if x[i] == y[j]:
        lcs.append(x[i])
    here = parent[here]
```