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6.004 Computation Structures  
Spring 2009

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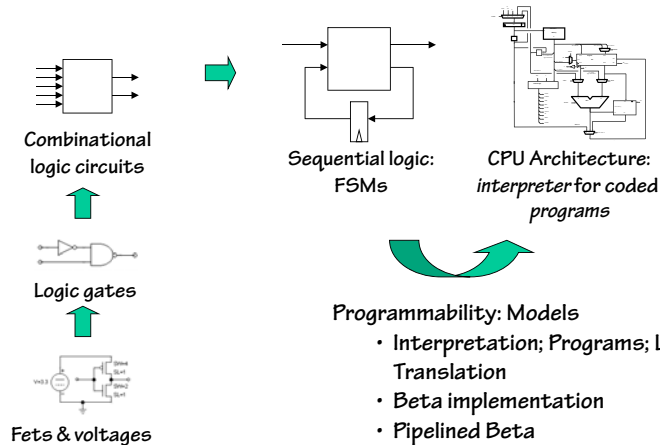
# Programmability

from silicon to bits

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the Big Ideas  
of  
Computer Science

# 6.004 Roadmap



## Programmability: Models

- Interpretation; Programs; Languages; Translation
- Beta implementation
- Pipelined Beta
- Software conventions
- Memory architectures

# FSMs as Programmable Machines

## ROM-based FSM sketch:

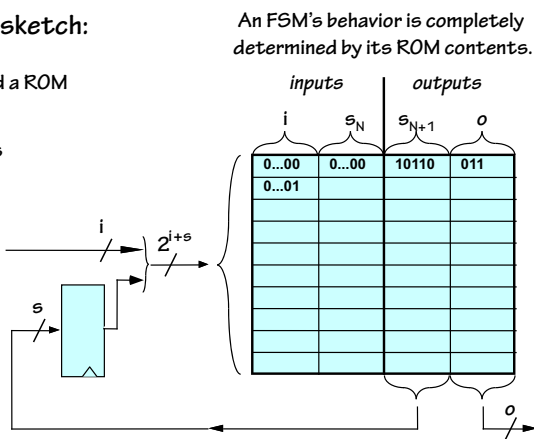
Given  $i$ ,  $s$ , and  $o$ , we need a ROM organized as:

$2^{i+s}$  words x  $(o+s)$  bits

So how many possible  $i$ -input,  $o$ -output, FSMs with  $s$ -state bits exist?

$$2^{(o+s)2^{i+s}}$$

(some may be equivalent)

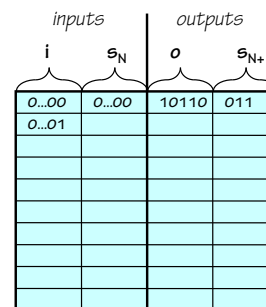


An FSM's behavior is completely determined by its ROM contents.

# Big Idea #1: FSM Enumeration

GOAL: List all possible FSMs in some canonical order.

- INFINITE list, but
- Every FSM has an entry and an associated index.



$i$	$s$	$o$	FSM#	Truth Table
1	1	1	1	00000000
1	1	1	2	00000001
			...	...
1	1	1	256	11111111
2	2	2	257	000000...000000
2	2	2	258	000000...000001
			...	...
3	3	3	...	000000...000000
			...	...
4	4	4	...	000000...000000

What if  $s=2$ ,  $i=0=1??$

Every possible FSM can be associated with a number. We can discuss the  $i^{\text{th}}$  FSM

## Some Perennial Favorites...

FSM <sub>837</sub>	modulo 3 counter
FSM <sub>1077</sub>	4-bit counter
FSM <sub>1537</sub>	lock for 6.004 Lab
FSM <sub>89143</sub>	Steve's digital watch
FSM <sub>22698469884</sub>	Intel Pentium CPU – rev 1
FSM <sub>784362783</sub>	Intel Pentium CPU – rev 2
FSM <sub>72698436563783</sub>	Intel Pentium II CPU

Reality: The integer indexes of actual FSMs are much bigger than the examples above. They must include enough information to constitute a complete description of each device's unique structure.

## Models of Computation

The roots of computer science stem from the study of many alternative mathematical “models” of computation, and study of the classes of computations they could represent.

An elusive goal was to find an “ultimate” model, capable of representing all practical computations...

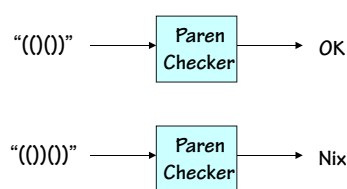
*We've got FSMs...  
what else do we need?*

- switches
- gates
- combinational logic
- memories
- FSMs

Are FSMs the ultimate digital computing device?

## FSM Limitations

Despite their usefulness and flexibility, there exist common problems that cannot be computed by FSMs. For instance:



### Well-formed Parentheses Checker:

Given any string of coded left & right parens, outputs 1 if it is balanced, else 0.

Simple, easy to describe.

Is this device equivalent to one of our enumerated FSMs???

**NO!**

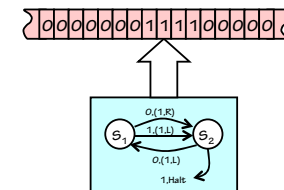
PROBLEM: Requires ARBITRARILY many states, depending on input. Must “COUNT” unmatched LEFT parens. An FSM can only keep track of a finite number of unmatched parens: for every FSM, we can find a string it can't check.

## Big Idea #2: Turing Machines

Alan Turing was one of a group of researchers studying alternative models of computation.

He proposed a conceptual model consisting of an FSM combined with an infinite digital tape that could be read and written at each step.

Turing's model (like others of the time) solves the “FINITE” problem of FSMs.



## A Turing machine Example

### Turing Machine Specification

- Doubly-infinite tape
- Discrete symbol positions
- Finite alphabet – say  $\{0, 1\}$
- Control FSM

#### INPUTS:

Current symbol

#### OUTPUTS:

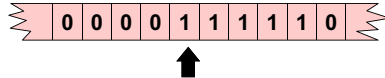
write 0/1

move Left/Right

- Initial Starting State  $\{S_0\}$
- Halt State  $\{Halt\}$

A Turing machine, like an FSM, can be specified with a truth table. The following Turing Machine implements a unary (base 1) incrementer.

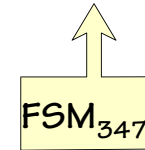
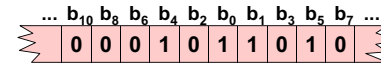
Current State	Input	Next State	Write Tape	Move Tape
$S_0$	1	$S_0$	1	R
$S_0$	0	$S_1$	1	L
$S_1$	1	$S_1$	1	L
$S_1$	0	HALT	0	R



OK, but how about *real* computations... like  $fact(n)$ ?

## Turing Machine Tapes as Integers

Canonical names for bounded tape configurations:



That's just Turing Machine 347 operating on tape 51

Encoding: starting at current position, build a binary integer taking successively higher-order bits from right and left sides. If nonzero region is bounded, eventually all 1's will be incorporated into the resulting integer representation.

## TMs as Integer Functions

Turing Machine  $T_i$  operating on Tape  $x$ ,

where  $x = \dots b_8 b_7 b_6 b_5 b_4 b_3 b_2 b_1 b_0$

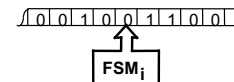
$y = T_i[x]$   
 x: input tape configuration  
 y: output tape configuration

I wonder if a TM can compute EVERY integer function...

Meanwhile, Turing's buddies Were busy too...

## Alternative models of computation

Turing Machines [Turing]



Recursive Functions [Kleene]

$F(0, x) \equiv x$

$F(1+y, x) \equiv 1+F(x, y)$

(define (fact n)  
 (... (fact (- n 1)) ...)

Kleene

Turing

Lambda calculus [Church, Curry, Rosser...]

$\lambda x. \lambda y. xxy$

(lambda (x) (lambda (y) (x (x y))))

Church

Production Systems [Post, Markov]

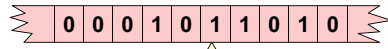
$\alpha \rightarrow \beta$

IF pulse=0 THEN patient=dead

Post

## The 1<sup>st</sup> Computer Industry Shakeout

Here's a TM that computes SQUARE ROOT!



FSM

how am I going to beat that?

## And the battles raged

Here's a Lambda Expression that does the same thing...

$(\lambda (x) \dots)$

... and here's one that computes the  $n^{\text{th}}$  root for ANY  $n$ !

$(\lambda (x \ n) \dots)$

maybe if I gave away a microwave oven with every Turing Machine...

**CONTEST:** Which model computes more functions?

**RESULT:** an N-way TIE!

## Big Idea #3: Computability

**FACT:** Each model studied is capable of computing exactly the same set of integer functions!

**Proof Technique:**

Constructions that translate between models

**BIG IDEA:**

Computability, independent of computation scheme chosen

unproved, but universally accepted...

### Church's Thesis:

Every discrete function computable by ANY realizable machine is computable by some Turing machine.

## Computable Functions

$f(x)$  computable  $\Leftrightarrow$  for some  $k$ , all  $x$ :  
 $f(x) = T_k[x] \equiv f_k(x)$

Equivalently:  $f(x)$  computable on Cray, Pentium, in C, Scheme, Java, ...

### Representation Tricks:

- Multi-argument functions? to compute  $f_k(x,y)$ , use  $\langle x,y \rangle =$  integer whose even bits come from  $x$ , and whose odd bits come from  $y$ ;  
whence  $f_k(x,y) = T_k[\langle x,y \rangle]$
- Data types: Can encode characters, strings, floats, ... as integers.
- Alphabet size: use groups of  $N$  bits for  $2^N$  symbols

# Enumeration of Computable functions

Conceptual table of ALL Turing Machine behaviors...

VERTICAL AXIS: Enumeration of TM's (computable functions)

HORIZONTAL AXIS: Enumeration of input tapes.

ENTRY AT (n, m): Result of applying m<sup>th</sup> TM to argument n

INTEGER k: TM halts, leaving k on tape.

★ : TM never halts.

$f_i$	$f_i(0)$	$f_i(1)$	$f_i(2)$	...	$f_i(n)$	...
$f_0$	37	23	★	...	33	...
$f_1$	42	★	111	...	12	...
$f_2$	★	★	★	...	★	...
...	...	...	...	...	...	...
$f_m$	0	★	831	...	$f_m(n)$	...
...	...	...	...	...	...	...

aren't all well-defined integer functions computable?

**NO!**

there are simply too many integer functions to fit in our enumeration!

# Uncomputable Functions

Unfortunately, not every well-defined integer function is computable. The most famous such function is the so-called Halting function,  $f_H(k, j)$ , defined by:

$$f_H(k, j) = 1 \text{ if } T_k[j] \text{ halts;}$$

$$0 \text{ otherwise.}$$

$f_H(k, j)$  determines whether the k<sup>th</sup> TM halts when given a tape containing j.

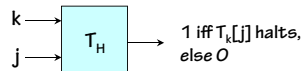
**THEOREM:**  $f_H$  is different from every function in our enumeration of computable functions; hence it cannot be computed by any Turing Machine.

**PROOF TECHNIQUE:** "Diagonalization" (after Cantor, Gödel)

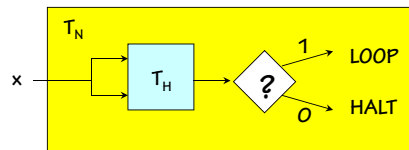
- If  $f_H$  is computable, it is equivalent to some TM (say,  $T_H$ ).
- Using  $T_H$  as a component, we can construct another TM whose behavior differs from every entry in our enumeration and hence must not be computable.
- Hence  $f_H$  cannot be computable.

# Why $f_H$ is uncomputable

If  $f_H$  is computable, it is equivalent to some TM (say,  $T_H$ ):



Then  $T_N$  (N for "Nasty"), which must be computable if  $T_H$  is:



$T_N[x]$ : LOOPS if  $T_x[x]$  halts;  
HALTS if  $T_x[x]$  loops

Finally, consider giving N as an argument to  $T_N$ :

$T_N[N]$ : LOOPS if  $T_N[N]$  halts;  
HALTS if  $T_N[N]$  loops



$T_N$  can't be computable, hence  $T_H$  can't either!

# Footnote: Diagonalization

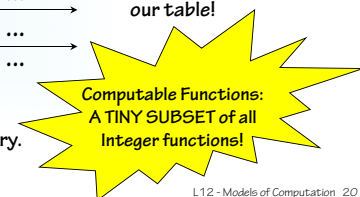
(clever proof technique used by Cantor, Gödel, Turing)

If  $T_H$  exists, we can use it to construct  $T_N$ . Hence  $T_N$  is computable if  $T_H$  is. (informally we argue by Church's Thesis; but we can show the actual  $T_N$  construction, if pressed)

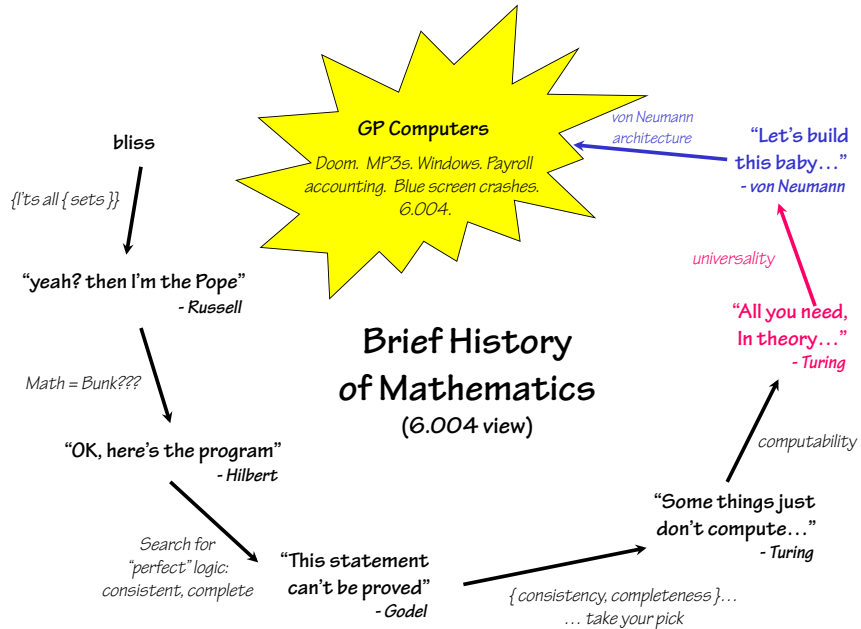
Why  $T_N$  can't be computable:

$f_i$	$f_i(0)$	$f_i(1)$	$f_i(2)$	...	$f_i(n)$	...
$f_0$	★	23	★	...	33	...
$f_1$	42	★	111	...	12	...
$f_2$	★	★	★	...	★	...
...	...	...	...	...	...	...
$f_m$	0	★	831	...	$f_m(n)$	...
...	...	...	...	...	...	...

$T_N$  differs from every computable function for at least one argument - along the diagonal of our table. Hence  $T_N$  can't be among the entries in our table!

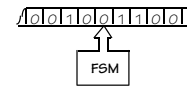


Hence no such  $T_H$  can be constructed, even in theory.

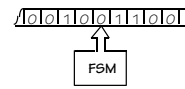


## meanwhile... Turing machines Galore!

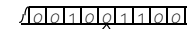
"special-purpose" Turing Machines...



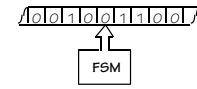
Multiplication



Sorting



Factorization



Primality Test

Is there an alternative to infinitely many, ad-hoc Turing Machines?

## The Universal Function

OK, so there are uncomputable functions – infinitely many of them, in fact.

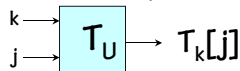
Here's an interesting candidate to explore: the Universal function,  $U$ , defined by

$$U(k, j) = T_k[j]$$

Could this be computable???

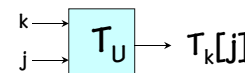
it sure would be neat to have a single, general-purpose machine...

**SURPRISE!**  $U$  is computable by a Turing Machine:



In fact, there are infinitely many such machines. Each is capable of performing any computation that can be performed by any TM!

## Big Idea #4: Universality



What's going on here?

$k$  encodes a "program" – a description of some arbitrary machine.

$j$  encodes the input data to be used.

$T_U$  interprets the program, emulating its processing of the data!

**KEY IDEA: Interpretation.** Manipulate coded representations of computing machines, rather than the machines themselves.

**Turing Universality:** The *Universal Turing Machine* is the paradigm for modern general-purpose computers! (cf: earlier *special-purpose* computers)

- **Basic threshold test:** Is your machine *Turing Universal*? If so, it can emulate every other Turing machine!
- Remarkably low threshold: UTMs with handfuls of states exist.
- Every modern computer is a UTM (given enough memory)
- To show your machine is Universal: demonstrate that it can emulate some known UTM.

# Coded Algorithms: Key to CS

data vs hardware

Algorithms as data: enables

COMPILERS: analyze, optimize, transform behavior

$$T_{\text{COMPILER-X-to-Y}}[P_X] = P_Y, \text{ such that}$$
$$T_X[P_X, z] = T_Y[P_Y, z]$$

LANGUAGE DESIGN: Separate specification from implementation

- C, Java, JSIM, Linux, ... all run on X86, PPC, Sun, ...
- Parallel development paths:
  - Language/Software design
  - Interpreter/Hardware design

SOFTWARE ENGINEERING:

Composition, iteration,

abstraction of coded behavior

$$F(x) = g(h(x), p(q(x)))$$

# Summary

Formal models (computability, Turing Machines, Universality) provide the basis for modern computer science:

- Fundamental limits (what can't be done, even given plenty of memory and time)
- Fundamental equivalence of computation models
- Representation of algorithms as data, rather than machinery
- Programs, Software, Interpreters, Compilers, ...

They leave many practical dimensions to deal with:

- Costs: Memory size, Time Performance
- Programmability

Next step: Design of a practical interpreter!