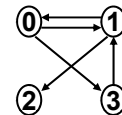


1.204 Lecture 7

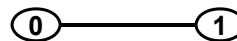
Data structures: graphs, sets

Graphs and Networks

- A graph contains:
 - Nodes
 - Arcs, or pairs of nodes $ij, i \neq j$
- Graphs can be directed or undirected



Directed
 $\langle 0, 1 \rangle$
 $\langle 1, 0 \rangle$

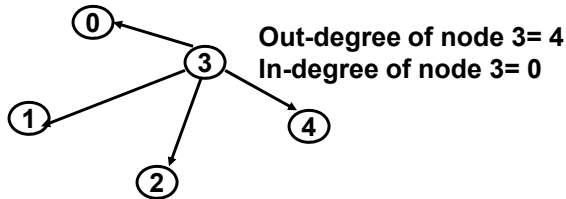
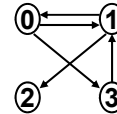
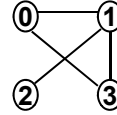


Undirected
 $(0, 1)$

- A network is a graph with a cost associated with each arc
- There are two kinds of networks in this world...
 - Electrical and its kin...and traffic and its kin...

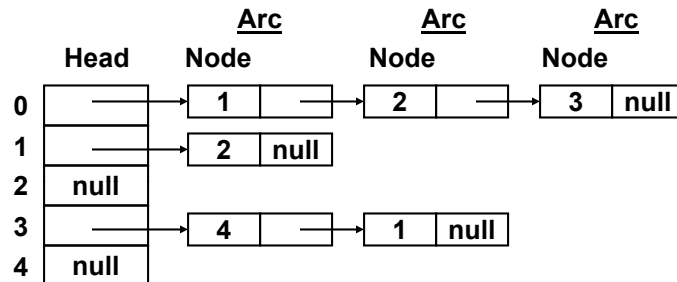
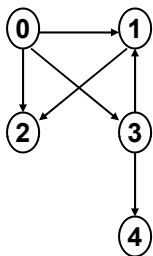
Networks

- **In an undirected network:**
 - Node i is adjacent to node j if arc ij exists
 - Degree of node is number of arcs it terminates
- **In a directed network:**
 - In-degree of node is number of arcs in
 - Out-degree of node is number of arcs out



Adjacency list representation of graphs

- **Adjacency list of graph is n lists, one for each node i**
 - Adjacency list contains node(s) adjacent to i

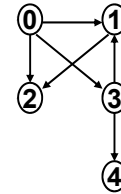


Head holds reference from each node i to the adjacent node list. Arc order arbitrary

Adjacency array representation of graphs

- If no insertion/deletion of nodes and arcs is to be done (or graph is large), we dispense with the links and list.
 - If we read the arcs from input and sort by 'from' node, we get:

| From | To | Cost | (Arc number) |
|------|----|------|--------------|
| 0 | 1 | 43 | 0 |
| 0 | 2 | 52 | 1 |
| 0 | 3 | 94 | 2 |
| 1 | 2 | 22 | 3 |
| 3 | 4 | 71 | 4 |
| 3 | 1 | 37 | 5 |



- The 'from' node repeats when out-degree > 1

- We recast this structure as arrays H, To, Cost:

| (Node) | H | (Arc) | To | Cost |
|--------|--------------|-------|----|------|
| 0 | 0 | 0 | 1 | 43 |
| 1 | 3 | 1 | 2 | 52 |
| 2 | 4 | 2 | 3 | 94 |
| 3 | 4 | 3 | 2 | 22 |
| 4 | 6 | 4 | 4 | 71 |
| 5 | 6 (sentinel) | 5 | 1 | 37 |

The H array

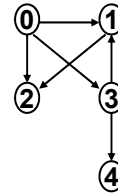
- **H[i]** holds the index of the first arc out of node i
 - Arcs must be sorted in order of origin (from) node
- **Special case:** If there are no arcs out of a node i,
 - $H[i] = H[i+1]$
 - This ensures that the inner for-loop below executes zero times in this special case


```
for (int node= 0; node < nodes; node++)
    for (int arc= H[node]; arc < H[node+1]; arc++)
        System.out.println("Arc from node " + node +
            " to node " + to[arc] + " cost " + cost[arc]);
```
- **This is creating two entities, nodes and arcs**
 - And normalizing the data, which is a key principle

The H array

Node H

| | |
|---|--------------|
| 0 | 0 |
| 1 | 3 |
| 2 | |
| 3 | 4 |
| 4 | |
| 5 | 6 (sentinel) |



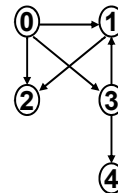
| <u>Arc</u> | <u>From</u> | <u>To</u> |
|------------|-------------|-----------|
| 0 | 0 | 1 |
| 1 | 0 | 2 |
| 2 | 0 | 3 |
| 3 | 1 | 2 |
| 4 | 3 | 1 |
| 5 | 3 | 4 |

Fill in the first arc out of each node

The H array

Node H

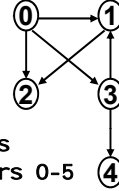
| | |
|---|--------------|
| 0 | 0 |
| 1 | 3 |
| 2 | 4 |
| 3 | 4 |
| 4 | 6 |
| 5 | 6 (sentinel) |



| <u>Arc</u> | <u>From</u> | <u>To</u> |
|------------|-------------|-----------|
| 0 | 0 | 1 |
| 1 | 0 | 2 |
| 2 | 0 | 3 |
| 3 | 1 | 2 |
| 4 | 3 | 1 |
| 5 | 3 | 4 |

Then set $H[i] = H[i+1]$ for any nodes with no arcs out of them

Traversing a graph



```
public class GraphSimple {
    public static void main(String[] args) {
        int[] H= {0, 3, 4, 4, 6, 6}; // 5 actual nodes
        int[] to= {1, 2, 3, 2, 4, 1}; // 6 arcs, numbers 0-5
        int[] cost= {43, 52, 94, 22, 71, 37};
        int nodes= H.length - 1; // Don't count sentinel

        for (int node= 0; node < nodes; node++)
            for (int arc= H[node]; arc < H[node+1]; arc++)
                System.out.println("Arc from node " + node +
                    " to node " + to[arc] + " cost " + cost[arc]);
    }
}
// This traverses all the arcs in the graph
// To traverse (visit only once) the nodes, create a boolean array
// visit; set it true when node is visited first time; and check
// it to output all nodes in graph only once
```

Graph class

```
public class Graph {
    private int to[];
    private int dist[];
    private int H[];
    private int nodes;
    private int arcs;

    // Constructor follows:
    // 1. Reads network arcs from file (or database)
    // 2. Sorts arcs by origin node, then destination node
    // 3. Fishes out to[] and dist[] arrays from sorted arcs
    //    (Done for convenience in later algorithms)
    // 4. Constructs head array H[] of references to 1st arc
    //    out of each node
```

Graph: constructor

```
public Graph(String filename) {
    Arc[] graph= null;          // graph is discarded at end
    try {                      // Step 1: Read arcs from file
        FileReader fin= new FileReader(filename);
        BufferedReader in= new BufferedReader(fin);
        graph= readData(in);    // also sets number of nodes
        in.close();
    } catch(IOException e) {
        System.out.println(e);
    }
    Arrays.sort(graph);        // Step 2: Sort in origin order
    arcs= graph.length;       // Step 3: Fish out to[], dist[]
    to= new int[arcs];
    dist= new int[arcs];
    for (int i=0; i < arcs; i++) {
        to[i]= graph[i].dest;
        dist[i]= graph[i].cost;
    }                          // continues on next slide
}
```

Graph: constructor, p.2

```
// Create H from the array of Arcs. Length= nodes+1 (sentinel)
H= new int[nodes+1]; // Step 4: Construct head array
int prevOrigin= -1;
for (int i=0; i < arcs; i++) {
    int o= graph[i].origin;
    if (o != prevOrigin) {
        for (int j= prevOrigin+1; j < o; j++)
            H[j]= i;          // Nodes with no arcs out
        H[o]= i;
        prevOrigin= o;
    }
}
// Sentinel, and nodes before it with no arcs out.
for (int i= nodes; i > prevOrigin; i--)
    H[i]= arcs;
} // End constructor
```

Graph: read input

```
public Arc[] readData(BufferedReader in) throws IOException {
    int n= Integer.parseInt(in.readLine()); // Number of arcs
    Arc[] arcArr= new Arc[n];
    for (int i=0; i < n; i++) {
        arcArr[i]= new Arc();
        String str = in.readLine();
        StringTokenizer t = new StringTokenizer(str, ",");
        arcArr[i].origin= (Integer.parseInt(t.nextToken()));
        arcArr[i].dest= (Integer.parseInt(t.nextToken()));
        arcArr[i].cost= (Integer.parseInt(t.nextToken()));
        if (arcArr[i].origin > nodes)
            nodes= arcArr[i].origin;
        if (arcArr[i].dest > nodes)
            nodes= arcArr[i].dest;
    }
    nodes++; // Started at 0, add one to get number of nodes
    return arcArr;
}
```

Graph: traverse(), main()

```
public void traverse() { // Traverses arcs
    for (int node= 0; node < nodes; node++) {
        for (int arc= H[node]; arc < H[node+1]; arc++)
            System.out.println(" "+ node +
                ", "+ to[arc]+", "+ dist[arc]+");
    }
}

public static void main(String[] args) {
    Graph g= new Graph("src/dataStructures/graph.txt");
    g.traverse();
}

// There is one other type of graph traverse, depth-first.
// It traverses the nodes of the graph recursively
// See Horowitz text if interested
```

Arc

```
public class Arc implements Comparable {
    int origin; // Package access
    int dest; // Package access
    int cost; // Package access

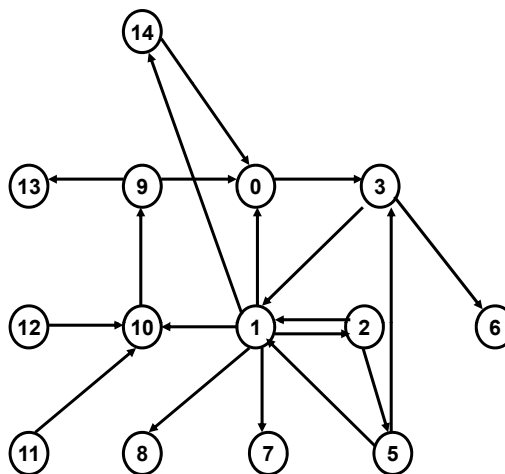
    public Arc() {}
    public Arc(int o, int d, int c) {
        origin= o;
        dest= d;
        cost= c;
    }

    public int compareTo(Object other) {
        Arc o= (Arc) other;
        if (origin < o.origin || (origin == o.origin && dest < o.dest))
            return -1;
        else if (origin > o.origin || (origin == o.origin && dest > o.dest))
            return 1;
        else
            return 0;
    }

    public String toString() {
        return (origin+" "+dest+" "+cost);
    }
}
```

Example

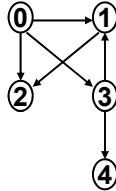
```
19
5, 3, 42
9, 0, 98
9, 13, 21
10, 9, 39
11, 10, 32
12, 10, 43
5, 1, 33
1, 0, 54
1, 2, 45
0, 3, 32
2, 1, 22
2, 5, 26
3, 1, 17
3, 6, 18
14, 0, 11
1, 10, 32
1, 14, 22
1, 7, 24
1, 8, 37
```



Bad ways to represent graphs

- Node-node incidence matrix

| | | | | | |
|---|---|---|---|---|---|
| | 0 | 1 | 2 | 3 | 4 |
| 0 | 0 | 1 | 1 | 1 | 0 |
| 1 | 0 | 0 | 1 | 0 | 0 |
| 2 | 0 | 0 | 0 | 0 | 0 |
| 3 | 0 | 1 | 0 | 0 | 1 |
| 4 | 0 | 0 | 0 | 0 | 0 |



- Does not scale
 - 1,000,000 node network (10^6) requires 10^{12} storage (TB)
 - Average node degree $\sim 4 \rightarrow$ matrix density $\sim 10^{-6}$
- Adjacency array (or “forward star”) is a sparse matrix technique
- Any representation with Arc or Node objects allocated one at a time as the graph is built
 - Allocating memory in small pieces is VERY slow
 - Use arrays; determine array size first
- Linked lists
 - Same memory allocation problem
- These bad ideas limit problem size to toy problems only
 - Even though the underlying algorithms are very fast
 - Same issues apply to dynamic programming (use virtual graph!)

Disjoint sets

- Assume we have objects, each with an integer identifier
- Sets are disjoint
 - If S_i and S_j , $i \neq j$, are two sets, there is no element in both S_i and S_j
- Operations:
 - Union: all elements in both sets
 - Find: find set containing an element
 - (Intersection is null)
 - (Difference is all members)
- Model each set as tree
 - Choose one node in set as root
 - Use array to store root:
 - $p[i]$ is parent of node i
 - $p[i] = -1$ indicates root

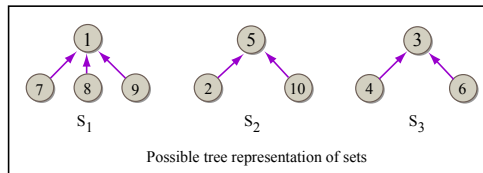


Figure by MIT OpenCourseWare.

i: 1 2 3 4 5 6 7 8 9 10
 p[i]: -1 5 -1 3 -1 3 1 1 1 5

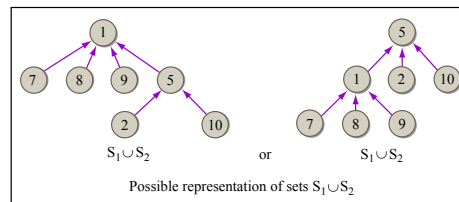


Figure by MIT OpenCourseWare.

i: 1 2 3 4 5 6 7 8 9 10
 p[i]: -1 5 1 1 1 1 5
 or 5 or -1

Set: simple union and find

- Simple implementations
 - Union(i,j) sets $p[i]=j$
 - Find(i) looks up $p[i]$ until $p[i] = -1$
- These have poor worst-case performance
 - We can get degenerate trees, e.g.,
 - union(1,2), union(2,3), union(3,4), ... produces:

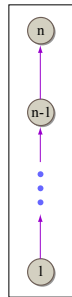


Figure by MIT OpenCourseWare.

In the worst case

- Each union is $O(1)$
- Average find is $O(n)$

We can do better

Set: simple version

```
public class Set {
    private int[] p;
    private static final int DEFAULT_CAPACITY = 10;

    public Set(int size) {
        p = new int[size];
        for (int i = 0; i < size; i++)
            p[i] = -1;
    }
    public Set() {
        this(DEFAULT_CAPACITY);
    }
    public void simpleUnion(int i, int j) {
        p[i] = j;
    }
    public int simpleFind(int i) {
        while (p[i] >= 0)
            i = p[i];
        return i;
    }
}
```

Set: efficient union

- Avoid degenerate tree by using weighting rule
 - Let n_i be number of nodes in set i
 - If $n_i < n_j$ set $p[i]=j$; otherwise set $p[j]=i$ (smaller tree is child)

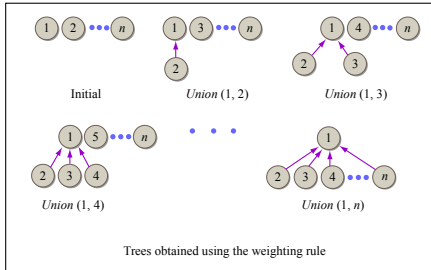


Figure by MIT OpenCourseWare.

- To implement this:
 - Keep number of nodes in root of each tree as negative number (-1 used to indicate root in simple version)
 - Union complexity is still $O(1)$, simple find complexity $O(\lg n)$ [p.115]

Set: efficient union

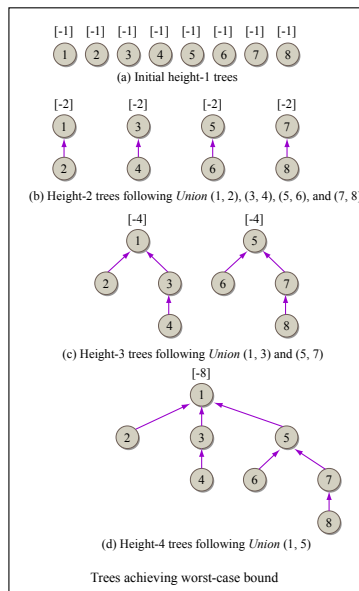
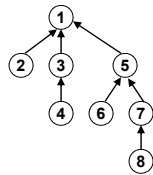


Figure by MIT OpenCourseWare.

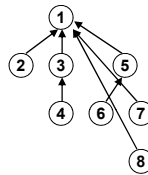
Set: efficient find

- Find can use collapsing rule:

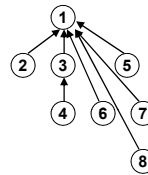
- If j is node on path from i to its root and $p[i] \neq \text{root}[i]$,
- Then set $p[j] = \text{root}[i]$



Original set



After find(8)



After find(8) and find(6)

Sequence of unions and finds is $O(\text{Ackermann's function})$, nearly constant

Set: efficient version

```

public void weightedUnion(int i, int j) {
    // Could check p[i]<0, p[j]<0, throw exception if not
    int nodes = p[i] + p[j]; // negative
    if (p[i] > p[j]) {      // i has fewer nodes
        p[i] = j;
        p[j] = nodes;
    } else {                // j has fewer or equal nodes
        p[j] = i;
        p[i] = nodes;
    }
}

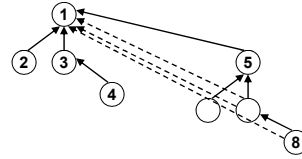
public int collapsingFind(int i) {
    int r = i;
    while (p[r] >= 0)
        r = p[r];
    while (i != r) {        // Collapse nodes from i to root r
        int s = p[i];
        p[i] = r;
        i = s;
    }
    return r;
}
  
```

Set: example

```

public static void main(String[] args) {
    Set s= new Set();
    s.wei ghtedUni on(1, 2);
    s.wei ghtedUni on(3, 4);
    s.wei ghtedUni on(5, 6);
    s.wei ghtedUni on(7, 8);
    s.wei ghtedUni on(1, 3);
    s.wei ghtedUni on(5, 7);
    s.wei ghtedUni on(1, 5);
    for (int i= 1; i < 9; i++)
        System.out.pri nt(" "+ s.p[i]);
    System.out.pri ntl n();
    s.col lapsi ngFi nd(8);
    for (int i= 1; i < 9; i++)
        System.out.pri nt(" "+ s.p[i]);
    System.out.pri ntl n();
    s.col lapsi ngFi nd(6);
    for (int i= 1; i < 9; i++)
        System.out.pri nt(" "+ s.p[i]);
    System.out.pri ntl n();
} }

```



| | | | | | | | |
|----|---|---|---|---|---|---|---|
| -8 | 1 | 1 | 3 | 1 | 5 | 5 | 7 |
| -8 | 1 | 1 | 3 | 1 | 5 | 1 | 1 |
| -8 | 1 | 1 | 3 | 1 | 1 | 1 | 1 |

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1.204 Computer Algorithms in Systems Engineering
Spring 2010

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