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5.80 Small-Molecule Spectroscopy and Dynamics
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Lecture #22: Rotation of Polyatomic Molecules I

A diatomic molecule is very limited in how it can rotate and vibrate.

- * \vec{R} is \perp to internuclear axis
- * only one kind of vibration

A polyatomic molecule can have \vec{R} oriented along any body fixed direction — symmetric and asymmetric tops[†]

A polyatomic molecule can stretch any bond or bend any bond pair — Normal modes of vibration
A lot of very complicated classical mechanics.

TODAY: Derive $\hat{\mathbf{H}}^{\text{ROT}} = \frac{\vec{R}_x^2}{2I_x} + \frac{\vec{R}_y^2}{2I_y} + \frac{\vec{R}_z^2}{2I_z}$ and evaluate matrix elements in $|KJM\rangle$ basis set, where I_x , I_y , and I_z are called principal components (i.e. eigenvalues) of the 3×3 moment of inertia tensor, \mathbf{I} , and are analogous to μR_{AB}^2 in an AB diatomic.

1. Center of mass.
2. rigid body rotation

$\hat{\mathbf{T}}^{\text{ROT}}$ in terms of $\vec{\omega}$ (angular velocity), m_i , (x_i, y_i, z_i)
(positions of atom i in center of mass body frame)

$$1/2 \vec{\omega}^\dagger \mathbf{I} \vec{\omega}$$

$$\mathbf{T}^\dagger \mathbf{I} \mathbf{T} \rightarrow \text{principal axes} \begin{pmatrix} I_a & 0 & 0 \\ 0 & I_b & 0 \\ 0 & 0 & I_c \end{pmatrix}$$

3. $\hat{\mathbf{H}}^{\text{ROT}}$ and matrix elements in $|KJM\rangle$ basis.
4. Symmetric tops - prolate and oblate energy level formulas.

Consider a rigid N-body system. Each atom has mass m_i and body-fixed coordinate \vec{q}_i (defined relative to an arbitrary body-fixed origin).

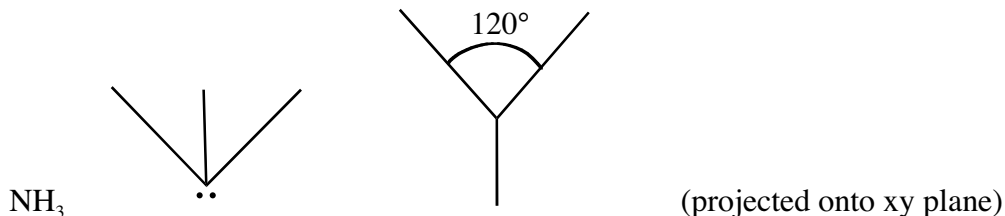
Our first task is to locate the center of mass, because we expect to separate the $3N$ degrees of freedom into 3 center of mass translations, 3 rotations about the center of mass, and $3N - 6$ vibrations.

Center of Mass: 3 **Cartesian** component equations.

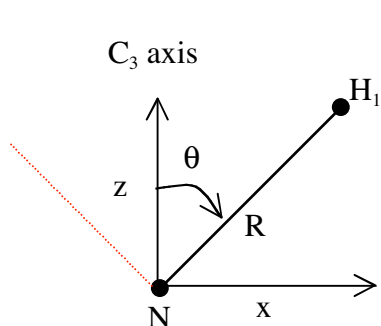
$$0 = \sum_{\substack{i=1 \\ \text{atoms}}}^{3N} m_i (\vec{q}_i - \vec{q}_{\text{CM}})$$

[†] In fact, the definition of body fixed axis system is not even obvious for vibrating molecule.

Example:



Take advantage of symmetry whenever possible!
 pick C_3 (3-fold rotation axis) axis as z axis
 locate origin at N atom (a convenient way to start)
 locate H_1 at $\phi = 0$ (i.e. in xz plane)



bond length

$$\vec{q}_{H_1} = \underbrace{(r, \theta, \phi)}_{\text{spherical polar}} = \underbrace{(R, \theta, 0)}_{\text{Cartesian}} \Rightarrow (x_1, y_1, z_1) = (R \sin \theta, 0, R \cos \theta)$$

$$\vec{q}_{H_2} = \left(R, \theta, \frac{2\pi}{3} \right) \Rightarrow (x_2, y_2, z_2) = \left(-\frac{1}{2} R \sin \theta, \frac{\sqrt{3}}{2} R \sin \theta, R \cos \theta \right)$$

$$\vec{q}_{H_3} = \left(R, \theta, \frac{4\pi}{3} \right) \Rightarrow (x_3, y_3, z_3) = \left(-\frac{1}{2} R \sin \theta, -\frac{\sqrt{3}}{2} R \sin \theta, R \cos \theta \right)$$

$$\vec{q}_N = (0, 0, 0)$$

Now solve for center of mass.

$$x_{CM} = y_{CM} = 0 \text{ are trivial}$$

z equation

$$0 = \sum_i m_i (z_i - z_{CM}) = 3m_H (R \cos \theta - z_{CM}) + m_N (0 - z_{CM})$$

$$z_{CM} = \frac{3m_H}{\underbrace{3m_H + m_N}_{=M}} R \cos \theta$$

So we have coordinates of all atoms relative to new origin now at center of mass, expressed in terms of 2 unknown bond coordinates, R and θ .

$$\vec{q}_{H_1} = \left(R \sin \theta, 0, R \cos \theta \frac{m_N}{M} \right)$$

$$\vec{q}_{H_2} = \left(-\frac{1}{2} R \sin \theta, \frac{\sqrt{3}}{2} R \sin \theta, R \cos \theta \frac{m_N}{M} \right)$$

$$\vec{q}_{H_3} = \left(-\frac{1}{2} R \sin \theta, -\frac{\sqrt{3}}{2} R \sin \theta, R \cos \theta \frac{m_N}{M} \right)$$

$$\vec{q}_N = \left(0, 0, -\frac{3m_H}{M} R \cos \theta \right)$$

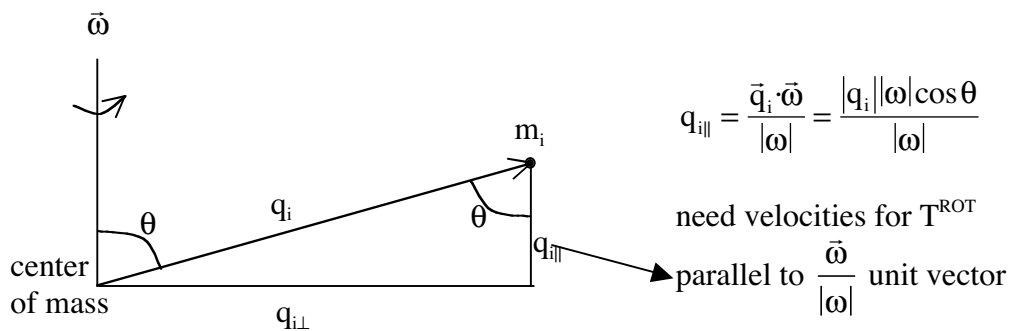
$$R \cos \theta - \frac{3m_H}{M} R \cos \theta = R \cos \theta \left[\frac{M - 3m_H}{M} \right] = R \cos \theta \frac{m_N}{M}$$

Next we need to write out $\hat{\mathbf{H}}^{\text{ROT}}$ and put it into a convenient form.

$$\underbrace{\hat{\mathbf{H}}^{\text{ROT}} = \hat{\mathbf{T}}^{\text{ROT}} + V^{\text{ROT}}}_{\text{free rotor, thus } V^{\text{ROT}}=0}$$

$$\hat{\mathbf{T}}^{\text{ROT}} = \frac{1}{2} \sum_i m_i v_i^2$$

Want to re-express all v_i 's in terms of \vec{q}_i and $\vec{\omega}$ where $\vec{\omega}$ specifies the direction and magnitude of the angular velocity of the rigid body rotations. (All atoms experience the same $\vec{\omega}$.)



$$\vec{v}_i = -\vec{q}_i \times \vec{\omega} \quad (\text{right hand rule requires minus sign})$$

$$|v_i| = |q_i| |\omega| \sin \theta_i \quad q_i, \omega \text{ known. Must solve for } \sin \theta_i.$$

$$\sin \theta_i = \frac{q_{i\perp}}{q_i} = \frac{\left[q_i^2 - \left(\frac{q_i \cdot \omega}{|\omega|} \right)^2 \right]^{1/2}}{q_i}$$

$$\text{so } v_i^2 = q_i^2 \omega^2 \sin^2 \theta_i = [q_i^2 \omega^2 - (q_i \cdot \omega)^2] \quad (\sin^2 \theta_i = 1 - \cos^2 \theta_i)$$

$$\hat{\mathbf{H}}^{\text{ROT}} = \frac{1}{2} \sum_i m_i [q_i^2 \omega^2 - (q_i \cdot \omega)^2]$$

Go to Cartesian coordinates (always safe for setting up quantum mechanical Hamiltonian operator).

$$\hat{\mathbf{H}}^{\text{ROT}} = \frac{1}{2} \sum_i m_i \left[(x_i^2 + y_i^2 + z_i^2)(\omega_x^2 + \omega_y^2 + \omega_z^2) - (x_i\omega_x + y_i\omega_y + z_i\omega_z)^2 \right]$$

a bit of algebra

$$= \frac{1}{2} \sum_i m_i \left[(x_i^2 + y_i^2)\omega_z^2 + (x_i^2 + z_i^2)\omega_y^2 + (y_i^2 + z_i^2)\omega_x^2 - 2x_i y_i \omega_x \omega_y - 2x_i z_i \omega_x \omega_z - 2y_i z_i \omega_y \omega_z \right]$$

awful mess!

Reformulate as matrix diagonalization problem!

Define

$$I_{xx} \equiv \sum_i m_i (y_i^2 + z_i^2) \quad \text{etc.} \quad \text{perpendicular distance squared from x axis}$$

$$I_{xy} = -\sum_i m_i (x_i y_i) = I_{yx} \quad \text{etc.}$$

$$\hat{\mathbf{H}}^{\text{ROT}} = \frac{1}{2} \boldsymbol{\omega}^\dagger \mathbf{I} \boldsymbol{\omega} \quad \text{This is a compact form for messy equation above!}$$

$$\boldsymbol{\omega} \equiv \begin{pmatrix} \omega_x \\ \omega_y \\ \omega_z \end{pmatrix} \quad \mathbf{I} \equiv \begin{vmatrix} I_{xx} & I_{xy} & I_{xz} \\ I_{yx} & I_{yy} & I_{yz} \\ I_{zx} & I_{zy} & I_{zz} \end{vmatrix} \quad \boldsymbol{\omega}^\dagger = \boxed{\omega_x \ \omega_y \ \omega_z}$$

“moment of inertia tensor”

\mathbf{I} is a real, symmetric matrix.

It can be diagonalized (by a coordinate transformation, a rotation about center of mass) to give

$$\mathbf{T}^\dagger \mathbf{I} \mathbf{T} = \begin{vmatrix} I_a & 0 & 0 \\ 0 & I_b & 0 \\ 0 & 0 & I_c \end{vmatrix}$$

$I_a \leq I_b \leq I_c$ by definition and are called the “principal moments of inertia”.

$$\mathbf{T}^\dagger \mathbf{T} = \mathbf{1} \quad \hat{\mathbf{H}}^{\text{ROT}} = \frac{1}{2} \boldsymbol{\omega}^\dagger \mathbf{I} \boldsymbol{\omega} = \frac{1}{2} (\boldsymbol{\omega}^\dagger \mathbf{T})(\mathbf{T}^\dagger \mathbf{I} \mathbf{T})(\mathbf{T} \boldsymbol{\omega})$$

$$\mathbf{T}^\dagger \begin{pmatrix} \omega_x \\ \omega_y \\ \omega_z \end{pmatrix} = \begin{pmatrix} \omega_a \\ \omega_b \\ \omega_c \end{pmatrix}$$

$$\mathbf{T}^\dagger \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} a \\ b \\ c \end{pmatrix}$$

We find a special body fixed coordinate system with origin at the center of mass which causes \mathbf{I} to be diagonal.

Usually possible to find principal axes by inspection.

1. One axis is axis of highest order rotational symmetry, called z by convention.
2. Another axis is \perp to C_n and \perp to a σ_v plane. E.g. if $\sigma(xz)$ exists, then

$$\sum_i m_i x_i y_i = \sum_i m_i y_i z_i = 0 \text{ because there is always an identical nucleus at } (x, +y, z) \text{ and at } (x, -y, z). \text{ (What happens when there is no } \sigma_v \text{ plane? e.g. } S_1 \text{ acetylene.)}$$

3. 3rd is \perp to first 2 axes.

So when \mathbf{I} is diagonal

$$\widehat{\mathbf{H}}^{\text{ROT}} = \frac{1}{2} (\mathbf{I}_a \omega_a^2 + \mathbf{I}_b \omega_b^2 + \mathbf{I}_c \omega_c^2)$$

the nuclear rotational angular momentum is defined as

$$\mathbf{J} = \mathbf{I} \boldsymbol{\omega}$$

should actually use notation of \mathbf{R} or \mathbf{N}

$$\widehat{\mathbf{H}}^{\text{ROT}} = \frac{1}{2} \mathbf{J}^\dagger \mathbf{I}^{-1} \mathbf{J} = \frac{J_a^2}{2\mathbf{I}_a} + \frac{J_b^2}{2\mathbf{I}_b} + \frac{J_c^2}{2\mathbf{I}_c}$$

$$\text{like } \frac{p^2}{2m} \rightarrow \frac{J^2}{2\mathbf{I}}$$

(The reciprocal or inverse of a diagonal matrix is trivial.)

We can now define three rotational constants

$$A = \frac{h}{c} \frac{1}{8\pi^2 I_a} \quad \text{cm}^{-1} (\text{E}/hc)$$

$$B = \frac{h}{c} \frac{1}{8\pi^2 I_b} \quad \text{cm}^{-1}$$

$$C = \frac{h}{c} \frac{1}{8\pi^2 I_c} \quad \text{cm}^{-1}$$

$$A \geq B \geq C \quad (\text{again, by definition})$$

Note that we will sample “rotational constants” with \mathbf{I}^{-1} averaged over specific vibrational state, not at the equilibrium geometry. Want equilibrium geometry, get strange average. Note that we are eventually going to want to compute derivatives of $\mathbf{I}^{-1} \equiv \boldsymbol{\mu}$ with respect to each of the $3N - 6$ normal coordinate displacements.

One obtains A, B, C by picking bond lengths and angles, specifying atomic masses, and diagonalizing \mathbf{I} . For each change in masses (isotopic substitution) or iterative change in geometry, \mathbf{I} must be rediagonalized.

Example: Principal Moments for NH_3 (refer to table on page 2)

C_3 axis must be one principal axis

$$\begin{aligned} \text{so } I_z &= R^2 \sin^2 \theta \left[m_{\text{H}_1} + \left(\frac{x^2}{4} + \frac{y^2}{4} \right) m_{\text{H}_2} + \left(\frac{1}{4} + \frac{3}{4} \right) m_{\text{H}_3} \right] \\ &= 3m_{\text{H}} R^2 \sin^2 \theta \\ &(\text{the } \perp \text{ distance}^2 \text{ of each atom from axis specified}) \end{aligned}$$

existence of reflection plane

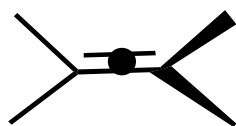
$$\sigma_v(xz) \xrightarrow{\text{implies}} I_y = R^2 \cos^2 \theta \left(\frac{3m_{\text{N}}m_{\text{H}}}{M} \right) + \overbrace{R^2 \sin^2 \theta}^{x^2} \left(\frac{3m_{\text{H}}}{2} \right)$$

principal component \perp to the xz plane. You show that $I_x = I_y$ (for any symmetric top).

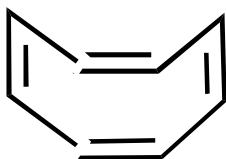
[General rule, every molecule with $n \geq 3$ C_n rotation axis has two equal moments of inertia!]

Special case of $D_{2d} \rightarrow S_4$ axis: cyclooctatetrene and allene

allene



C_3H_4



cyclo-octa-tetra-ene
 C_8H_8

\hat{H}^{ROT} for symmetric top.

By convention, $I_x = I_y$, I_z is unique (for all sym. tops).

$$\hat{H}^{\text{ROT}} = \frac{\hat{J}_x^2}{2I_x} + \frac{\hat{J}_y^2}{2I_y} + \frac{\hat{J}_z^2}{2I_z} \quad \text{manipulate this into a form convenient for } |JKM\rangle \text{ basis set.}$$

$$I_x = I_y \quad \hat{J}^2 - \hat{J}_z^2 = \hat{J}_x^2 + \hat{J}_y^2 \quad \text{key step!}$$

$$\hat{H}^{\text{ROT}} = \frac{1}{2I_x} [\hat{J}_x^2 + \hat{J}_y^2] + \frac{\hat{J}_z^2}{2I_z} = \frac{1}{2I_x} (\hat{J}^2 - \hat{J}_z^2) + \frac{\hat{J}_z^2}{2I_z}$$

$$\hat{H}^{\text{ROT}} = \frac{1}{2I_x} \hat{J}^2 + \left[\frac{1}{2I_z} - \frac{1}{2I_x} \right] \hat{J}_z^2 \quad \text{remember this!!}$$

Use $|JKM\rangle$ symmetric top basis functions which are just like $|J\Omega M\rangle$ functions for a diatomic molecule.

So

$$E^{\text{ROT}} = \underbrace{\frac{\hbar^2}{2I_x} J(J+1)}_{\text{like a diatomic molecule}} + \left[\frac{\hbar^2}{2I_z} - \frac{\hbar^2}{2I_x} \right] K^2$$

projection of \hat{J} onto
 unique (i.e. symmetry)
 axis of body (like Ω)

2 types of symmetric top:

1. $I_z \equiv I_a$ is unique, $I_b = I_c > I_a$, prolate top, like a cigar. Coefficient of K^2 is > 0 because $A > B$ by definition.

$$\frac{E_{\text{prolate}}^{\text{ROT}}}{hc} = BJ(J+1) + (A - B)K^2$$

2. $I_z \equiv I_c$ is unique. $I_a = I_b < I_c$, oblate top, like a frisbee. Coefficient of K^2 is < 0 .

$$\frac{E_{\text{oblate}}^{\text{ROT}}}{hc} = BJ(J+1) - (B - C)K^2$$

$J = 0, 1, 2, \dots$ $K = 0, \pm 1, \dots, \pm J$ denote as (J, K) or J_K

nondegenerate! **doubly degenerate!**

possible levels